



06-27-01

PATENT
GEH-01-081

CERTIFICATE OF MAILING BY EXPRESS MAIL TO
THE COMMISSIONER OF PATENTS AND TRADEMARKS

Express Mail mailing label number: **EL752183419US**

Date of Mailing: June 26, 2001

RECEIVED
JUL 02 2001
Technology Center 2100

I certify that the attached Information Disclosure Statement for patent application of **JOHN R. DONER** for **LOCOMOTIVE PARKING MANAGEMENT TOOL**, filed January 10, 2001, Serial No. 09/757,833 including:

- Information Disclosure Statement Transmittal (1 page, in triplicate)
- Form 1449 Information Disclosure Statement with attachments AA-AB, FA-FB and CA
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Patrick W. Rasche
Reg. No. 37,916
Armstrong Teasdale LLP
One Metropolitan Square, Suite 2600
St. Louis, MO 63102
314/621-5070



Express Mail No.: EL752183419US

GEH-01-081
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John R. Doner

Serial No.: 09/757,833 ✓

Filed: January 10, 2001

For: LOCOMOTIVE PARKING
MANAGEMENT TOOL

:
: Art Unit: 2152
:
: Examiner:
:
:

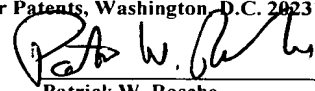
RECEIVED

JUL 02 2001

Technology Center 2100

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on June 26, 2001.


Patrick W. Rasche
Reg. No. 37,916

INFORMATION DISCLOSURE STATEMENT

1. [☒] Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
2. [☐] Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
3. [☐] Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
4. [☐] Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97 (d)
[before Issue Fee payment]

Hon. Commissioner for Patents
Washington, D.C. 20231

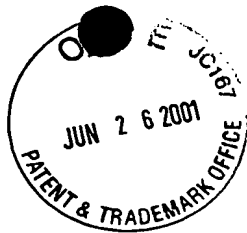
Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

5. ☒ A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This Paper is submitted in accordance with:

6. ☒ 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]



Express Mail No.: EL752183419US

GEH-01-081
PATENT

7. ☐ 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
8. ☐ The required certification made in item 11 below; or
9. ☐ The \$180 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
10. ☐ 37 CFR 1.97(d): [before issue fee payment]; and
- ☐ a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention GROUP DIRECTOR, and if applicable include batch locator information: e.g., "Allowed Files, Batch _____, Date of Allowance _____"), and
- ☐ b) The required Certification is stated in item 11 below.
11. ☐ Certification
12. ☒ Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
13. ☐ No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
14. ☒ Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 01-2384. An original and two copies of this document is enclosed.

Respectfully submitted,

Patrick W. Rasche,
Reg. No. 37,916
ARMSTRONG TEASDALE LLP
One Metropolitan Square, Suite 2600
St. Louis, Missouri 63102-2740
(314) 621-5070

RECEIVED

JUL 02 2001

Technology Center 2100



Express Mail No. EL752183419US

Please Type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: US DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/757,833
				Filing Date	01/10/2001
				First Named Inventor	John R. Doner
				Group Art Unit	2152
Examiner Name					
Sheet	1	of	2	Attorney Docket Number	GEH-01-081

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	4,630,216		Tyler et al.	12-16-1986	
	AB	4,926,343		Tsuruta et al.	05-15-1990	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	FA	EP	0 721 872 A2		Shinko Electric Co. Ltd.	07-17-1996		
	FB	DE	195 05 223 A 1		Heimann, Dieter, Dr.med.	08-22-1996		

OTHER PRIOR ART - - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Items (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	International Search Report	

Examiner Signature	Date Considered:
-----------------------	------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See Attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WSIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC**